

Docket No. 210099US2PCT/sdc

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Kazuaki ISHIOKA
SERIAL NO: 09/926,124
FILED: September 6, 2001
FOR: SPREAD SPECTRUM RECEIVER AND SPREAD SPECTRUM RECEIVING METHOD

GAU: 2631

EXAMINER:

RECEIVED
#5-12-01
DEC 11 2001
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INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references, some of which are cited in the attached International Search Report listed on the attached form PTO-1449. Copies of reference(s) AL, AN, AP, AQ, and AS through AU, have been received by the U.S. PCT Receiving Office from the International Bureau as acknowledged in the Notification of Acceptance.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Joseph A. Scafetta, Jr.

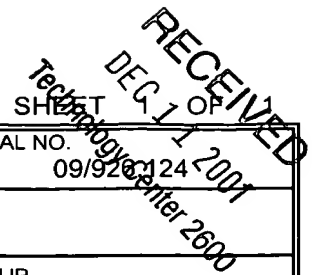
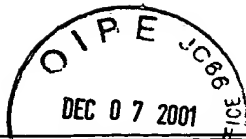
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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 216099US2PCT		SERIAL NO. 09/926124	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Kazuaki ISHIOKA			
				FILING DATE September 6, 2001		GROUP 2631	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,812,593	09/22/98	T. KAKU			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AL	0 718 9 98	06/26/96	EUROPE (submitting corr. US 5,812,593 and JP 8-181636 only)			
	AM	8-181636	07/12/96	JAPAN			X
	AN	0 951 148	10/20/99	EUROPE (submitting corr. JP 11-298401 only)			
	AO	11-298401	10/29/99	JAPAN			
	AP	10-336072	12/18/98	JAPAN (submitting English Abstract only)			
	AQ	11-186991	07/09/99	JAPAN (submitting corr. EP 0 984 576 only)			
	AR	0 984 576	03/08/2000	EUROPE			
	AS	2000-78110	03/14/2000	JAPAN (submitting English Abstract only)			
	AT	2000-115022	04/21/2000	JAPAN (submitting English Abstract only)			
	AU	2000-134135	05/12/2000	JAPAN (submitting corr. EP 0 989 685 only)			
	AV	0 989 685	03/29/2000	EUROPE			
	AW	10-308688	11/17/98	JAPAN (with English Abstract)			X
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AX						
	AY						
	AZ						
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							



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LIST OF RELATED CASES

<u>Docket Number</u>	<u>Serial or Patent No.</u>	<u>Filing or Issue Date</u>	<u>Status or Patentee</u>
198955US2 CONT	09/696,180	10/26/00	PENDING
210099US2 PCT*	09/926,124	09/06/01	PENDING

*Present application; listed for information

GJM/ae

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